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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/852,563	05/10/2001	Hirokazu Uchio	B422-150

26272  
ROBIN BLECKER & DALEY  
2ND FLOOR  
330 MADISON AVENUE  
NEW YORK, NY 10017

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July 12, 2001

ROBIN, BLECKER  
& DALEY

CONFIRMATION NO. 6252

FORMALITIES LETTER



\*OC00000006277965\*

Date Mailed: 07/10/2001

Due 9/10/01

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/09/2001 NMOHAMM1 00000045 09852563

01 FC:105

130.00 OP



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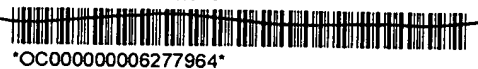
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/852,563	05/10/2001	2176	1646	B422-150	36	32	12

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2ND FLOOR  
330 MADISON AVENUE  
NEW YORK, NY 10017

CONFIRMATION NO. 6252

## FILING RECEIPT



\*OC00000006277964\*

Date Mailed: 07/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hirokazu Uchio, ~~Residence Not Provided;~~ **TOKYO, JAPAN**  
Tomoyuki Kaneda, ~~Residence Not Provided;~~ **TOKYO, JAPAN**  
Satoru Motohasi, ~~Residence Not Provided;~~ **TOKYO, JAPAN**

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Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-143053 05/16/2000  
JAPAN 2000-143054 05/16/2000  
JAPAN 2000-143352 05/16/2000  
JAPAN 2000-143354 05/16/2000

If Required, Foreign Filing License Granted 07/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Information processing apparatus for management of documents relevant to patent application

Preliminary Class

707

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Data entry by : POINGUE, EDWARD

Team : OIPE

Date: 07/10/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on:

October 1, 2001

October 1, 2001

Date of Signature

MARYLEE JENKINS

Signature



PATENT APPLICATION  
B422-150

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hirokazu Uchio et al.  
Serial No. : 09/852,563  
Filed : May 10, 2001  
For : INFORMATION PROCESSING APPARATUS FOR MANAGEMENT  
OF DOCUMENTS RELEVANT TO PATENT APPLICATION  
Examiner : Unassigned  
Art Unit : 2176

Assistant Commissioner for Patents  
Washington, D.C. 20231  
BOX MISSING PARTS

Sir:

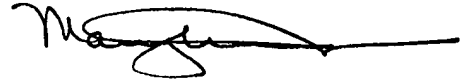
LETTER CONCERNING NOTICE TO FILE MISSING PARTS

Enclosed is a copy of the "Notice to File Missing Parts of Nonprovisional Application - Filed under 37 CFR 1.53(b) - Filing Date Granted" and an executed "Combined Declaration and Power of Attorney for Patent Application" form for the above-identified application. Also enclosed is a credit card authorization form to charge the applicable fee (\$130.00) to cover the late filing fee for filing the enclosed Declaration. Please also note that the filing receipt for the above-identified application needs to be corrected so as to indicate the applicants' addresses. We have attached a copy of the filing receipt indicating the necessary changes. Also enclosed is a request for a one month extension of time form and appropriate fee (\$110) in order to file the enclosed declaration with such fee associated with the request indicated on the enclosed credit

card authorization form. Authorization is also granted, if necessary, to charge our deposit account no. 18-1644 for any further fees necessary for entry of the filing of the enclosed declaration.

Dated: October 1, 2001

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Marylee Jenkins', with a long horizontal flourish extending to the right.

ROBIN, BLECKER & DALEY  
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New York, New York 10017  
T (212) 682-9640

Marylee Jenkins  
Reg. No. 37,645  
An Attorney of Record